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# FORMALITIES LETTER



\*OC00000005095391\*



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/516,303	02/29/2000	Christopher Scott Gifford	PII-1100

Michael J Pollock  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111

Date Mailed: 05/04/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- The balance due by applicant is \$ 550.

*A copy of this notice **MUST** be returned with the reply.*

*U. Robinson*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/02/2000 NLUANG 00000101 09516303

01 FC:205 65.00 OP  
02 FC:299 65.00 OP

Adjustment date: 06/22/2000 UTOMER  
06/02/2000 NLUANG 00000101 09516303  
02 FC:299 65.00 OP

Repln. Ref: 06/22/2000 UTOMER 0015000100  
Name/Number: 09516303  
FC: 704 \$65.00 CR



*sector* \$ ✓

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Group Art Unit: 2711
	)	
Christopher Scott Gifford et al.	)	Examiner: Unknown
	)	
Appln. No. 09/516,303	)	<b>RESPONSE TO NOTICE TO FILE MISSING</b>
	)	<b>PARTS OF APPLICATION AND SUBMISSION</b>
Filed: February 29, 2000	)	<b>OF SMALL ENTITY STATUS AND</b>
	)	<b>DECLARATION</b>
For: MULTI-CHANNEL AUDIO	)	
PROCESSING SYSTEM WITH REAL-	)	2001 Ferry Building
TIME PROGRAM DURATION	)	San Francisco, CA 94111
ALTERATION	)	415/433-4150

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on May 26, 2000.

LIMBACH & LIMBACH L.L.P. Date: 5/26/2000

By: *Sandra D. Hunter*  
Name: Sandra D. Hunter

In response to the Notice to File Missing Parts of Application mailed May 4, 2000 (copy enclosed), applicants submit the enclosed Declaration for Patent Application and Verified Statement Claiming Small Entity Status-Small Business Concern.

A check in the amount of \$130 is enclosed herewith to cover the \$130 surcharge for filing missing parts of an application.

The Commissioner is hereby authorized to charge payment of any **additional** fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

By: *Michael J. Pollock*  
Michael J. Pollock  
Registration No. 29,098

May 26, 2000  
(Date)

PII-1100

Attorneys for Applicants

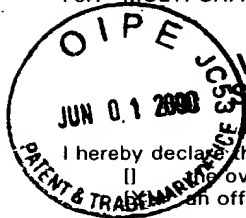
Applicant or Patentee: Christopher Scott Gifford et al.

Appln. or Patent No.: 09/516,303

Filed or Issued: February 29, 2000

For: MULTI-CHANNEL AUDIO PROCESSING SYSTEM WITH REAL-TIME PROGRAM DURATION ALTERATION

Attorney's  
Docket No.: PII-1100



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

☐ the owner of the small business concern identified below:

☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Prime Image, Inc.

ADDRESS OF CONCERN 662 Giguere Court, #C, San Jose, CA 95133

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled by inventor(s) Christopher Scott Gifford et al. described in

- ☐ the specification filed herewith with title as listed above.  
☒ application no. 09/516,303, filed February 29, 2000.  
☐ patent no. , issued .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time or paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING William B. Hendershot

TITLE OF PERSON OTHER THAN OWNER \_\_\_\_\_

ADDRESS OF PERSON SIGNING 662 Giguere Court, #C, San Jose, CA 95133

SIGNATURE: William B. Hendershot

DATE: 05/24/00